

# Regulation 15: Notice of changes

## Care Quality Commission (Registration) Regulations 2009: Regulation 15

The intention of this regulation is to ensure that CQC is notified of specific changes in the running of the service so that CQC can be assured that the provider has taken appropriate action.

The provider must inform CQC when:

- The person who manages or carries on the service changes
- There is a change to the registered details of the service and any individual, partnership or organisation who manage or carry it on
- The registered person becomes financially insolvent
- The service closes.

Providers must use forms provided by CQC to make notifications under this regulation. Further information about how to make any required notification to CQC can be found [here](#).

CQC can prosecute for a breach of this regulation or a breach of part of the regulation. This means that CQC can move directly to prosecution without first serving a warning notice. Additionally, CQC may also take any other regulatory action. See the [offences section](#) for more detail.

CQC must refuse registration if providers cannot satisfy us that they can and will continue to comply with this regulation.

There is no further guidance for this regulation.

## The regulation in full

15.—

1. Subject to paragraph (2), the registered person must give notice in writing to the Commission, as soon as it is reasonably practicable to do so, if any of the following events takes place or is proposed to take place—
  - a. a person other than the registered person carries on or manages the regulated activity;
  - b. a registered person ceases to carry on or manage the regulated activity;
  - c. the name of a registered person (where that person is an individual) changes;
  - d. where the service provider is a partnership, any change in the membership of the partnership;
  - e. where the service provider is a body other than a partnership—
    - i. a change in the name or address of the body,
    - ii. a change of director, secretary or other similar officer of the body, or
    - iii. a change of nominated individual;
  - f. where the service provider is—
    - i. an individual, the appointment of a trustee in bankruptcy in relation to that individual, or
    - ii. a company or partnership, the appointment of a receiver, manager, liquidator or provisional liquidator in relation to that company or partnership.
2. Paragraph (1)(e)(ii) does not apply where the service provider is a health service body
3. In this regulation, "nominated individual" means the individual who is employed as a director, manager or secretary of the body and whose name has been notified to the Commission as being the person who is responsible for supervising the management of the carrying on of the regulated activity by that body.

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