

Regulation 12: Statement of purpose

Care Quality Commission (Registration) Regulations 2009: Regulation 12

The intention of this regulation is to make sure that providers have produced and sent to CQC a clear statement of all the information listed under [Schedule 3](#).

Providers must notify CQC of any changes to their statement of purpose and ensure it is kept under review, and notify CQC when there are any changes to the information listed in Schedule 3.

CQC can prosecute for a breach of this regulation or a breach of part of the regulation. This means that CQC can move directly to prosecution without first serving a warning notice. Additionally, CQC may also take any other regulatory action. See the [offences section](#) for more detail.

CQC must refuse registration if providers cannot satisfy us that they can and will continue to comply with this regulation.

The regulation in full

12.—

1. The registered person must give the Commission a statement of purpose containing the information listed in Schedule 3.
2. The registered person must keep under review and, where appropriate, revise the statement of purpose.
3. The registered person must provide written details of any revision to the statement of purpose to the Commission within 28 days of any such revision.

Guidance

This sets out the guidance providers must have regard to against the relevant component of the regulation.

12(1) The registered person must give the Commission a statement of purpose containing the information listed in Schedule 3.

Guidance on 12(1)

- Providers must submit their statement of purpose on first application for registration together with the registration application form.

12(3) The registered person must provide written details of any revision to the statement of purpose to the Commission within 28 days of any such revision.

Guidance on 12(3)

- If a provider changes their statement of purpose, it must tell CQC what these changes are within 28 days of making the changes.

- If a provider is applying to vary a condition of its registration, it should send CQC a copy of its proposed statement of purpose with its application.

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